

Correctness of Tarjan's Algorithm

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```
theory Tarjan  
imports Main  
begin
```

Tarjan's algorithm computes the strongly connected components of a finite graph using depth-first search. We formalize a functional version of the algorithm in Isabelle/HOL, following a development of Lvy et al. in Why3 that is available at <http://pauillac.inria.fr/~levy/why3/graph/abs/scct/1-68bis/scc.html>.

Make the simplifier expand let-constructions automatically

```
declare Let-def[simp]
```

Definition of an auxiliary data structure holding local variables during the execution of Tarjan's algorithm.

```
record 'v env =  
  black :: 'v set
```

gray :: 'v set
stack :: 'v list
sccs :: 'v set set
sn :: nat
num :: 'v \Rightarrow int

definition *colored where*

colored $e \equiv \text{black } e \cup \text{gray } e$

locale *graph =*

fixes *vertices* :: 'v set

and *successors* :: 'v \Rightarrow 'v set

assumes *vfin*: *finite vertices*

and *sclosed*: $\forall x \in \text{vertices}. \text{successors } x \subseteq \text{vertices}$

context *graph*

begin

1 Reachability in graphs

abbreviation *edge where*

edge $x\ y \equiv y \in \text{successors } x$

definition *xedge-to where*

— *ys* is a suffix of *xs*, *y* appears in *ys*, and there is an edge from some node in the prefix of *xs* to *y*

xedge-to $xs\ ys\ y \equiv$

$y \in \text{set } ys$

$\wedge (\exists zs. xs = zs @ ys \wedge (\exists z \in \text{set } zs. \text{edge } z\ y))$

inductive *reachable where*

reachable-refl[*iff*]: *reachable* $x\ x$

| *reachable-succ*[*elim*]: $[[\text{edge } x\ y; \text{reachable } y\ z]] \Longrightarrow \text{reachable } x\ z$

lemma *reachable-edge*: $\text{edge } x\ y \Longrightarrow \text{reachable } x\ y$

by *auto*

lemma *succ-reachable*:

assumes *reachable* $x\ y$ **and** *edge* $y\ z$

shows *reachable* $x\ z$

using *assms* **by** *induct auto*

lemma *reachable-trans*:

assumes *y*: *reachable* $x\ y$ **and** *z*: *reachable* $y\ z$

shows *reachable* $x\ z$

using *assms* **by** *induct auto*

Given some set S and two vertices x and y such that y is reachable from x , and x is an element of S but y is not, then there exists some vertices x' and

y' linked by an edge such that x' is an element of S , y' is not, x' is reachable from x , and y is reachable from y' .

lemma *reachable-crossing-set*:

assumes 1: *reachable* $x\ y$ **and** 2: $x \in S$ **and** 3: $y \notin S$

obtains $x' y'$ **where**

$x' \in S\ y' \notin S$ *edge* $x' y'$ *reachable* $x\ x'$ *reachable* $y' y$

proof –

from *assms*

have $\exists x' y'. x' \in S \wedge y' \notin S \wedge \text{edge } x' y' \wedge \text{reachable } x\ x' \wedge \text{reachable } y' y$

by *induct (blast intro: reachable-edge reachable-trans)+*

with that show *?thesis* **by** *blast*

qed

2 Strongly connected components

definition *is-subsc* **where**

$\text{is-subsc } S \equiv \forall x \in S. \forall y \in S. \text{reachable } x\ y$

definition *is-scc* **where**

$\text{is-scc } S \equiv S \neq \{\} \wedge \text{is-subsc } S \wedge (\forall S'. S \subseteq S' \wedge \text{is-subsc } S' \longrightarrow S' = S)$

lemma *subsc-add*:

assumes *is-subsc* S **and** $x \in S$

and *reachable* $x\ y$ **and** *reachable* $y\ x$

shows *is-subsc* (*insert* $y\ S$)

using *assms* **unfolding** *is-subsc-def* **by** (*metis insert-iff reachable-trans*)

lemma *sccE*:

– Two vertices that are reachable from each other are in the same SCC.

assumes *is-scc* S **and** $x \in S$

and *reachable* $x\ y$ **and** *reachable* $y\ x$

shows $y \in S$

using *assms* **unfolding** *is-scc-def* **by** (*metis insertI1 subsc-add subset-insertI*)

lemma *scc-partition*:

– Two SCCs that contain a common element are identical.

assumes *is-scc* S **and** *is-scc* S' **and** $x \in S \cap S'$

shows $S = S'$

using *assms* **unfolding** *is-scc-def is-subsc-def*

by (*metis IntE assms(2) sccE subsetI*)

3 Auxiliary functions

abbreviation *infty* (∞) **where**

– integer exceeding any one used as a vertex number during the algorithm

$\infty \equiv \text{int } (\text{card } \text{vertices})$

definition *set-infty* **where**

— set $f x$ to ∞ for all x in xs
 $set\text{-infty } xs f = fold (\lambda x g. g (x := \infty)) xs f$

lemma *set-infty*:

$(set\text{-infty } xs f) x = (if x \in set\ xs\ then\ \infty\ else\ f\ x)$

unfolding *set-infty-def* **by** (*induct xs arbitrary: f*) *auto*

Split a list at the first occurrence of a given element. Returns the two sublists of elements before (and including) the element and those strictly after the element. If the element does not occur in the list, returns a pair formed by the entire list and the empty list.

fun *split-list* **where**

$split\text{-list } x [] = ([], [])$
 $| split\text{-list } x (y \# xs) =$
 $(if\ x = y\ then\ ([x], xs)\ else$
 $(let\ (l, r) = split\text{-list } x\ xs\ in$
 $(y \# l, r)))$

lemma *split-list-concat*:

— Concatenating the two sublists produced by *split-list* yields back the original list.

assumes $x \in set\ xs$

shows $(fst (split\text{-list } x\ xs)) @ (snd (split\text{-list } x\ xs)) = xs$

using *assms* **by** (*induct xs*) (*auto simp: split-def*)

lemma *fst-split-list*:

assumes $x \in set\ xs$

shows $\exists ys. fst (split\text{-list } x\ xs) = ys @ [x] \wedge x \notin set\ ys$

using *assms* **by** (*induct xs*) (*auto simp: split-def*)

Push a vertex on the stack and increment the sequence number. The pushed vertex is associated with the (old) sequence number. It is also added to the set of gray nodes.

definition *add-stack-incr* **where**

$add\text{-stack-incr } x\ e =$
 $e \ (| \ gray := insert\ x\ (\gray\ e),$
 $stack := x \# (stack\ e),$
 $sn := sn\ e + 1,$
 $num := (num\ e) (x := int (sn\ e)) \ |)$

Add vertex x to the set of black vertices in e and remove it from the set of gray vertices.

definition *add-black* **where**

$add\text{-black } x\ e = e \ (| \ black := insert\ x\ (black\ e),$
 $gray := (\gray\ e) - \{x\} \ |)$

4 Main functions used for Tarjan's algorithms

4.1 Function definitions

We define two mutually recursive functions that contain the essence of Tarjan's algorithm. Their arguments are respectively a single vertex and a set of vertices, as well as an environment that contains the local variables of the algorithm, and an auxiliary parameter representing the set of "gray" vertices, which is used only for the proof. The main function is then obtained by specializing the function operating on a set of vertices.

function (*domintros*) *dfs1* and *dfs* **where**

```

dfs1 x e =
  (let (n1, e1) = dfs (successors x) (add-stack-incr x e) in
    if n1 < int (sn e) then (n1, add-black x e1)
    else
      (let (l,r) = split-list x (stack e1) in
        (∞,
          (| black = insert x (black e1),
            gray = gray e,
            stack = r,
            sccs = insert (set l) (sccs e1),
            sn = sn e1,
            num = set-infty l (num e1) | )))
| dfs roots e =
  (if roots = {} then (∞, e)
  else
    (let x = SOME x. x ∈ roots;
      res1 = (if num e x ≠ -1 then (num e x, e) else dfs1 x e);
      res2 = dfs (roots - {x}) (snd res1)
      in (min (fst res1) (fst res2), snd res2 ))
by pat-completeness auto

```

definition *init-env* **where**

```

init-env ≡ (| black = {},           gray = {},
             stack = [],          sccs = {},
             sn = 0,              num = λ-. -1 |)

```

definition *tarjan* **where**

```

tarjan ≡ sccs (snd (dfs vertices init-env))

```

4.2 Well-definedness of the functions

We did not prove termination when we defined the two mutually recursive functions *dfs1* and *dfs* defined above, and indeed it is easy to see that they do not terminate for arbitrary arguments. Isabelle allows us to define "partial" recursive functions, for which it introduces an auxiliary domain predicate that characterizes their domain of definition. We now make this more concrete and prove that the two functions terminate when called for

nodes of the graph, also assuming an elementary well-definedness condition for environments. These conditions are met in the cases of interest, and in particular in the call to *dfs* in the main function *tarjan*. Intuitively, the reason is that every (possibly indirect) recursive call to *dfs* either decreases the set of roots or increases the set of nodes colored black or gray.

The set of nodes colored black never decreases in the course of the computation.

lemma *black-increasing*:

$$\begin{aligned} & \text{dfs1-dfs-dom } (Inl (x,e)) \implies \text{black } e \subseteq \text{black } (\text{snd } (\text{dfs1 } x e)) \\ & \text{dfs1-dfs-dom } (Inr (roots,e)) \implies \text{black } e \subseteq \text{black } (\text{snd } (\text{dfs } roots e)) \\ & \text{by } (\text{induct rule: dfs1-dfs.pinduct,} \\ & \quad (\text{fastforce simp: dfs1.psimps dfs.psimps case-prod-beta} \\ & \quad \quad \text{add-black-def add-stack-incr-def})+) \end{aligned}$$

Similarly, the set of nodes colored black or gray never decreases in the course of the computation.

lemma *colored-increasing*:

$$\begin{aligned} & \text{dfs1-dfs-dom } (Inl (x,e)) \implies \\ & \quad \text{colored } e \subseteq \text{colored } (\text{snd } (\text{dfs1 } x e)) \wedge \\ & \quad \text{colored } (\text{add-stack-incr } x e) \\ & \quad \subseteq \text{colored } (\text{snd } (\text{dfs } (\text{successors } x) (\text{add-stack-incr } x e))) \\ & \text{dfs1-dfs-dom } (Inr (roots,e)) \implies \\ & \quad \text{colored } e \subseteq \text{colored } (\text{snd } (\text{dfs } roots e)) \end{aligned}$$

proof (*induct rule: dfs1-dfs.pinduct*)

$$\begin{aligned} & \text{case } (1 x e) \\ & \text{from } \langle \text{dfs1-dfs-dom } (Inl (x,e)) \rangle \\ & \text{have } \text{black } e \subseteq \text{black } (\text{snd } (\text{dfs1 } x e)) \\ & \quad \text{by } (\text{rule black-increasing}) \\ & \text{with } 1 \text{ show } ?\text{case} \\ & \quad \text{by } (\text{auto simp: dfs1.psimps case-prod-beta add-stack-incr-def} \\ & \quad \quad \text{add-black-def colored-def}) \end{aligned}$$

next

$$\begin{aligned} & \text{case } (2 roots e) \text{ then show } ?\text{case} \\ & \quad \text{by } (\text{fastforce simp: dfs.psimps case-prod-beta}) \end{aligned}$$

qed

The functions *dfs1* and *dfs* never assign the number of a vertex to -1.

lemma *dfs-num-defined*:

$$\begin{aligned} & \llbracket \text{dfs1-dfs-dom } (Inl (x,e)); \text{num } (\text{snd } (\text{dfs1 } x e)) v = -1 \rrbracket \implies \\ & \quad \text{num } e v = -1 \\ & \llbracket \text{dfs1-dfs-dom } (Inr (roots,e)); \text{num } (\text{snd } (\text{dfs } roots e)) v = -1 \rrbracket \implies \\ & \quad \text{num } e v = -1 \end{aligned}$$

$$\begin{aligned} & \text{by } (\text{induct rule: dfs1-dfs.pinduct,} \\ & \quad (\text{auto simp: dfs1.psimps dfs.psimps case-prod-beta add-stack-incr-def} \\ & \quad \quad \text{add-black-def set-infty} \\ & \quad \quad \text{split: if-split-asm})) \end{aligned}$$

We are only interested in environments that assign positive numbers to colored nodes, and we show that calls to *dfs1* and *dfs* preserve this property.

definition *colored-num* **where**

$$\text{colored-num } e \equiv \forall v \in \text{colored } e. v \in \text{vertices} \wedge \text{num } e \ v \neq -1$$

lemma *colored-num*:

$$\llbracket \text{dfs1-dfs-dom } (\text{Inl } (x,e)); x \in \text{vertices}; \text{colored-num } e \rrbracket \implies \text{colored-num } (\text{snd } (\text{dfs1 } x \ e))$$

$$\llbracket \text{dfs1-dfs-dom } (\text{Inr } (\text{roots},e)); \text{roots} \subseteq \text{vertices}; \text{colored-num } e \rrbracket \implies \text{colored-num } (\text{snd } (\text{dfs } \text{roots } e))$$

proof (*induct rule: dfs1-dfs.pinduct*)

case $(1 \ x \ e)$

let $?rec = \text{dfs } (\text{successors } x) \ (\text{add-stack-incr } x \ e)$

from $\langle \text{sclosed } \langle x \in \text{vertices} \rangle$

have $\text{successors } x \subseteq \text{vertices} \ ..$

moreover

from $\langle \text{colored-num } e \rangle \langle x \in \text{vertices} \rangle$

have $\text{colored-num } (\text{add-stack-incr } x \ e)$

by (*auto simp: colored-num-def add-stack-incr-def colored-def*)

ultimately

have $\text{rec: colored-num } (\text{snd } ?rec)$

using 1 **by** *blast*

have $x: x \in \text{colored } (\text{add-stack-incr } x \ e)$

by (*simp add: add-stack-incr-def colored-def*)

from $\langle \text{dfs1-dfs-dom } (\text{Inl } (x,e)) \rangle \text{colored-increasing}$

have $\text{colrec: colored } (\text{add-stack-incr } x \ e) \subseteq \text{colored } (\text{snd } ?rec)$

by *blast*

show $?case$

proof (*cases fst ?rec < int (sn e)*)

case *True*

with $\text{rec } x \ \text{colrec } \langle \text{dfs1-dfs-dom } (\text{Inl } (x,e)) \rangle$ **show** $?thesis$

by (*auto simp: dfs1.psimps case-prod-beta*

colored-num-def add-black-def colored-def)

next

case *False*

let $?e' = \text{snd } (\text{dfs1 } x \ e)$

have $\text{colored } e \subseteq \text{colored } (\text{add-stack-incr } x \ e)$

by (*auto simp: colored-def add-stack-incr-def*)

with $\text{False } x \ \text{colrec } \langle \text{dfs1-dfs-dom } (\text{Inl } (x,e)) \rangle$

have $\text{colored } ?e' \subseteq \text{colored } (\text{snd } ?rec)$

$\exists xs. \text{num } ?e' = \text{set-infty } xs \ (\text{num } (\text{snd } ?rec))$

by (*auto simp: dfs1.psimps case-prod-beta colored-def*)

with rec **show** $?thesis$

by (*auto simp: colored-num-def set-infty split: if-split-asm*)

qed

next

case $(2 \ \text{roots } e)$

show $?case$

proof (*cases roots = {}*)

```

case True
with  $\langle \text{dfs1-dfs-dom } (\text{Inr } (\text{roots}, e)) \rangle \langle \text{colored-num } e \rangle$ 
show ?thesis by (auto simp: dfs.psimps)
next
case False
let ?x = SOME x. x  $\in$  roots
from False obtain r where r  $\in$  roots by blast
hence ?x  $\in$  roots by (rule someI)
with  $\langle \text{roots} \subseteq \text{vertices} \rangle$  have x: ?x  $\in$  vertices ..
let ?res1 = if num e ?x  $\neq$   $-1$  then (num e ?x, e) else dfs1 ?x e
let ?res2 = dfs (roots -  $\{?x\}$ ) (snd ?res1)
from ? False  $\langle \text{roots} \subseteq \text{vertices} \rangle$  x
have colored-num (snd ?res1) by auto
with ? False  $\langle \text{roots} \subseteq \text{vertices} \rangle$ 
have colored-num (snd ?res2)
  by blast
moreover
from False  $\langle \text{dfs1-dfs-dom } (\text{Inr } (\text{roots}, e)) \rangle$ 
have dfs roots e = (min (fst ?res1) (fst ?res2), snd ?res2)
  by (auto simp: dfs.psimps)
ultimately show ?thesis by simp
qed
qed

```

The following relation underlies the termination argument used for proving well-definedness of the functions *dfs1* and *dfs*. It is defined on the disjoint sum of the types of arguments of the two functions and relates the arguments of (mutually) recursive calls.

definition *dfs1-dfs-term* **where**

$$\begin{aligned}
\text{dfs1-dfs-term} \equiv & \\
& \{ (\text{Inl}(x, e::'v \text{ env}), \text{Inr}(\text{roots}, e)) \mid \\
& \quad x \in \text{roots} . \\
& \quad \text{roots} \subseteq \text{vertices} \wedge x \in \text{roots} \wedge \text{colored } e \subseteq \text{vertices} \} \\
\cup & \{ (\text{Inr}(\text{roots}, \text{add-stack-incr } x \ e), \text{Inl}(x, e)) \mid \\
& \quad x \in \text{roots} . \\
& \quad \text{colored } e \subseteq \text{vertices} \wedge x \in \text{vertices} - \text{colored } e \} \\
\cup & \{ (\text{Inr}(\text{roots}, e::'v \text{ env}), \text{Inr}(\text{roots}', e')) \mid \\
& \quad \text{roots } \text{roots}' \ e \ e' . \\
& \quad \text{roots}' \subseteq \text{vertices} \wedge \text{roots} \subset \text{roots}' \wedge \\
& \quad \text{colored } e' \subseteq \text{colored } e \wedge \text{colored } e \subseteq \text{vertices} \}
\end{aligned}$$

In order to prove that the above relation is well-founded, we use the following function that embeds it into triples whose first component is the complement of the colored nodes, whose second component is the set of root nodes, and whose third component is 1 or 2 depending on the function being called. The third component corresponds to the first case in the definition of *dfs1-dfs-term*.

fun *dfs1-dfs-to-tuple* **where**

$dfs1\text{-}dfs\text{-}to\text{-}tuple (Inl(x::'v, e::'v env)) = (vertices - colored e, \{x\}, 1::nat)$
 $| dfs1\text{-}dfs\text{-}to\text{-}tuple (Inr(roots, e::'v env)) = (vertices - colored e, roots, 2)$

lemma *wf-term*: *wf dfs1-dfs-term*

proof –

let $?r = (finite\text{-}psubset :: ('v\ set \times 'v\ set)\ set)$
 $<*\text{lex}*> (finite\text{-}psubset :: ('v\ set \times 'v\ set)\ set)$
 $<*\text{lex}*> pred\text{-}nat$

have *wf ?r*

using *wf-finite-psubset wf-pred-nat* **by** *blast*

moreover

have $dfs1\text{-}dfs\text{-}term \subseteq inv\text{-}image\ ?r\ dfs1\text{-}dfs\text{-}to\text{-}tuple$

unfolding *dfs1-dfs-term-def pred-nat-def* **using** *vfin*

by (*auto dest: finite-subset simp: add-stack-incr-def colored-def*)

ultimately show *?thesis*

using *wf-inv-image wf-subset* **by** *blast*

qed

The following theorem establishes sufficient conditions under which the two functions *dfs1* and *dfs* terminate. The proof proceeds by well-founded induction using the relation *dfs1-dfs-term* and makes use of the theorem *dfs1-dfs.domintros* that was generated by Isabelle from the mutually recursive definitions in order to characterize the domain conditions for these functions.

theorem *dfs1-dfs-termination*:

$\llbracket x \in vertices - colored\ e; colored\text{-}num\ e \rrbracket \implies dfs1\text{-}dfs\text{-}dom (Inl(x, e))$

$\llbracket roots \subseteq vertices; colored\text{-}num\ e \rrbracket \implies dfs1\text{-}dfs\text{-}dom (Inr(roots, e))$

proof –

{ **fix** *args*

have (*case args*

of $Inl(x,e) \Rightarrow$

$x \in vertices - colored\ e \wedge colored\text{-}num\ e$

| $Inr(roots,e) \Rightarrow$

$roots \subseteq vertices \wedge colored\text{-}num\ e$

$\longrightarrow dfs1\text{-}dfs\text{-}dom\ args$ (**is** $?P\ args \longrightarrow ?Q\ args$)

proof (*rule wf-induct[OF wf-term]*)

fix $arg :: ('v \times 'v\ env) + ('v\ set \times 'v\ env)$

assume $ih: \forall arg'. (arg', arg) \in dfs1\text{-}dfs\text{-}term$

$\longrightarrow (?P\ arg' \longrightarrow ?Q\ arg')$

show $?P\ arg \longrightarrow ?Q\ arg$

proof

assume $P: ?P\ arg$

show $?Q\ arg$

proof (*cases arg*)

case ($Inl\ a$)

then obtain $x\ e$ **where** $a: arg = Inl(x,e)$

using *dfs1.cases* **by** *metis*

have $?Q (Inl(x,e))$

proof (*rule dfs1-dfs.domintros*)

```

let ?recarg = Inr (successors x, add-stack-incr x e)
from a P have (?recarg, arg) ∈ dfs1-dfs-term
  by (auto simp: add-stack-incr-def colored-num-def dfs1-dfs-term-def)
moreover
from a P sclosed have ?P ?recarg
  by (auto simp: add-stack-incr-def colored-num-def colored-def)
ultimately show ?Q ?recarg
  using ih by auto
qed
with a show ?thesis by simp
next
case (Inr b)
then obtain roots e where b: arg = Inr(roots,e)
  using dfs.cases by metis
let ?sx = SOME x. x ∈ roots
let ?rec1arg = Inl (?sx, e)
let ?rec2arg = Inr (roots - {?sx}, e)
let ?rec3arg = Inr (roots - {?sx}, snd (dfs1 ?sx e))
have ?Q (Inr(roots,e))
proof (rule dfs1-dfs.domintros)
  fix x
  assume 1: x ∈ roots
    and 2: num e ?sx = -1
    and 3: ¬ dfs1-dfs-dom ?rec1arg
  from 1 have sx: ?sx ∈ roots by (rule someI)
  with P b have (?rec1arg, arg) ∈ dfs1-dfs-term
    by (auto simp: dfs1-dfs-term-def colored-num-def)
  moreover
  from sx 2 P b have ?P ?rec1arg
    by (auto simp: colored-num-def)
  ultimately show False
    using ih 3 by auto
next
fix x
assume x ∈ roots
hence sx: ?sx ∈ roots by (rule someI)
from sx b P have (?rec2arg, arg) ∈ dfs1-dfs-term
  by (auto simp: dfs1-dfs-term-def colored-num-def)
moreover
from P b have ?P ?rec2arg by auto
ultimately show dfs1-dfs-dom ?rec2arg
  using ih by auto
next
fix x
assume 1: x ∈ roots and 2: num e ?sx = -1
from 1 have sx: ?sx ∈ roots by (rule someI)
have dfs1-dfs-dom ?rec1arg
proof -
  from sx P b have (?rec1arg, arg) ∈ dfs1-dfs-term

```

```

      by (auto simp: dfs1-dfs-term-def colored-num-def)
    moreover
    from  $sx \ 2 \ P \ b$  have  $?P \ ?rec1arg$ 
      by (auto simp: colored-num-def)
    ultimately show  $?thesis$ 
      using  $ih$  by auto
  qed
  with  $P \ b \ sx$  have colored-num (snd (dfs1  $?sx \ e$ ))
    by (auto elim: colored-num)
  moreover
  from  $this \ sx \ b \ P \ \langle dfs1-dfs-dom \ ?rec1arg \rangle$ 
  have  $(?rec3arg, \ arg) \in dfs1-dfs-term$ 
    by (auto simp: dfs1-dfs-term-def colored-num-def
      dest: colored-increasing)
  moreover
  from  $this \ P \ b \ \langle colored-num \ (snd \ (dfs1 \ ?sx \ e)) \rangle$ 
  have  $?P \ ?rec3arg$  by auto
  ultimately show  $dfs1-dfs-dom \ ?rec3arg$ 
    using  $ih$  by auto
  qed
  with  $b$  show  $?thesis$  by simp
  qed
  qed
  qed
}
note  $dom = this$ 
from  $dom$ 
show  $\llbracket x \in vertices - colored \ e; \ colored-num \ e \rrbracket \implies dfs1-dfs-dom \ (Inl(x,e))$ 
  by auto
from  $dom$ 
show  $\llbracket roots \subseteq vertices; \ colored-num \ e \rrbracket \implies dfs1-dfs-dom \ (Inr(roots,e))$ 
  by auto
qed

```

5 Auxiliary notions for the proof of partial correctness

The proof of partial correctness is more challenging and requires some further concepts that we now define.

We need to reason about the relative order of elements in a list (specifically, the stack used in the algorithm).

definition *precedes* ($- \preceq -$ in $- [100,100,100] \ 39$) **where**
 — x has an occurrence in xs that precedes an occurrence of y .
 $x \preceq y$ in $xs \equiv \exists l \ r. \ xs = l @ (x \# r) \wedge y \in set \ (x \# r)$

lemma *precedes-mem*:
assumes $x \preceq y$ in xs

shows $x \in \text{set } xs \ y \in \text{set } xs$
using *assms* **unfolding** *precedes-def* **by** *auto*

lemma *head-precedes*:
assumes $y \in \text{set } (x \# xs)$
shows $x \preceq y$ *in* $(x \# xs)$
using *assms* **unfolding** *precedes-def* **by** *force*

lemma *precedes-in-tail*:
assumes $x \neq z$
shows $x \preceq y$ *in* $(z \# zs) \longleftrightarrow x \preceq y$ *in* zs
using *assms* **unfolding** *precedes-def* **by** (*auto simp: Cons-eq-append-conv*)

lemma *tail-not-precedes*:
assumes $y \preceq x$ *in* $(x \# xs)$ $x \notin \text{set } xs$
shows $x = y$
using *assms* **unfolding** *precedes-def*
by (*metis Cons-eq-append-conv Un-iff list.inject set-append*)

lemma *split-list-precedes*:
assumes $y \in \text{set } (ys @ [x])$
shows $y \preceq x$ *in* $(ys @ x \# xs)$
using *assms* **unfolding** *precedes-def*
by (*metis append-Cons append-assoc in-set-conv-decomp rotate1.simps(2) set-ConsD set-rotate1*)

lemma *precedes-refl* [*simp*]: $(x \preceq x \text{ in } xs) = (x \in \text{set } xs)$
proof
assume $x \preceq x$ *in* xs **thus** $x \in \text{set } xs$
by (*simp add: precedes-mem*)
next
assume $x \in \text{set } xs$
from *this* [*THEN split-list*] **show** $x \preceq x$ *in* xs
unfolding *precedes-def* **by** *auto*
qed

lemma *precedes-append-left*:
assumes $x \preceq y$ *in* xs
shows $x \preceq y$ *in* $(ys @ xs)$
using *assms* **unfolding** *precedes-def* **by** (*metis append.assoc*)

lemma *precedes-append-left-iff*:
assumes $x \notin \text{set } ys$
shows $x \preceq y$ *in* $(ys @ xs) \longleftrightarrow x \preceq y$ *in* xs (**is** *?lhs = ?rhs*)
proof
assume *?lhs*
then obtain $l r$ **where** $lr: ys @ xs = l @ (x \# r)$ $y \in \text{set } (x \# r)$
unfolding *precedes-def* **by** *blast*
then obtain us **where**

```

    (ys = l @ us ∧ us @ xs = x # r) ∨ (ys @ us = l ∧ xs = us @ (x # r))
  by (auto simp: append-eq-append-conv2)
thus ?rhs
proof
  assume us: ys = l @ us ∧ us @ xs = x # r
  with assms have us = []
    by (metis Cons-eq-append-conv in-set-conv-decomp)
  with us lr show ?rhs
    unfolding precedes-def by auto
next
  assume us: ys @ us = l ∧ xs = us @ (x # r)
  with ⟨y ∈ set (x # r)⟩ show ?rhs
    unfolding precedes-def by blast
qed
next
  assume ?rhs thus ?lhs by (rule precedes-append-left)
qed

lemma precedes-append-right:
  assumes x ≼ y in xs
  shows x ≼ y in (xs @ ys)
  using assms unfolding precedes-def by force

lemma precedes-append-right-iff:
  assumes y ∉ set ys
  shows x ≼ y in (xs @ ys) ⟷ x ≼ y in xs (is ?lhs = ?rhs)
proof
  assume ?lhs
  then obtain l r where lr: xs @ ys = l @ (x # r) y ∈ set (x # r)
    unfolding precedes-def by blast
  then obtain us where
    (xs = l @ us ∧ us @ ys = x # r) ∨ (xs @ us = l ∧ ys = us @ (x # r))
    by (auto simp: append-eq-append-conv2)
  thus ?rhs
proof
  assume us: xs = l @ us ∧ us @ ys = x # r
  with ⟨y ∈ set (x # r)⟩ assms show ?rhs
    unfolding precedes-def by (metis Cons-eq-append-conv Un-iff set-append)
next
  assume us: xs @ us = l ∧ ys = us @ (x # r)
  with ⟨y ∈ set (x # r)⟩ assms
  show ?rhs by auto — contradiction
qed
next
  assume ?rhs thus ?lhs by (rule precedes-append-right)
qed

```

Precedence determines an order on the elements of a list, provided elements have unique occurrences. However, consider a list such as $[2::'a, 3::'a, 1::'a,$

2::'a]: then 1 precedes 2 and 2 precedes 3, but 1 does not precede 3.

lemma *precedes-trans*:

assumes $x \preceq y$ in xs **and** $y \preceq z$ in xs **and** *distinct xs*

shows $x \preceq z$ in xs

using *assms unfolding precedes-def*

by (*smt Un-iff append.assoc append-Cons-eq-iff distinct-append not-distinct-conv-prefix set-append split-list-last*)

lemma *precedes-antisym*:

assumes $x \preceq y$ in xs **and** $y \preceq x$ in xs **and** *distinct xs*

shows $x = y$

proof –

from $\langle x \preceq y$ in $xs \rangle$ \langle *distinct xs* \rangle **obtain** $as\ bs$ **where**

1: $xs = as @ (x \# bs)$ $y \in set (x \# bs)$ $y \notin set as$

unfolding *precedes-def* **by** *force*

from $\langle y \preceq x$ in $xs \rangle$ \langle *distinct xs* \rangle **obtain** $cs\ ds$ **where**

2: $xs = cs @ (y \# ds)$ $x \in set (y \# ds)$ $x \notin set cs$

unfolding *precedes-def* **by** *force*

from 1 2 **have** $as @ (x \# bs) = cs @ (y \# ds)$

by *simp*

then obtain zs **where**

$(as = cs @ zs \wedge zs @ (x \# bs) = y \# ds)$

$\vee (as @ zs = cs \wedge x \# bs = zs @ (y \# ds))$ (**is** $?P \vee ?Q$)

by (*auto simp: append-eq-append-conv2*)

then show *?thesis*

proof

assume $?P$ **with** $\langle y \notin set as \rangle$ **show** *?thesis*

by (*cases zs auto*)

next

assume $?Q$ **with** $\langle x \notin set cs \rangle$ **show** *?thesis*

by (*cases zs auto*)

qed

qed

6 Predicates and lemmas about environments

definition *subenv* **where**

$subenv\ e\ e' \equiv$

$(\exists s. stack\ e' = s @ (stack\ e) \wedge set\ s \subseteq black\ e')$

$\wedge black\ e \subseteq black\ e' \wedge gray\ e = gray\ e'$

$\wedge sccs\ e \subseteq sccs\ e'$

$\wedge (\forall x \in set (stack\ e). num\ e\ x = num\ e'\ x)$

lemma *subenv-refl* [*simp*]: $subenv\ e\ e$

by (*auto simp: subenv-def*)

lemma *subenv-trans*:

assumes $subenv\ e\ e'$ **and** $subenv\ e'\ e''$

shows $subenv\ e\ e''$
using *assms* **unfolding** *subenv-def* **by** *force*

definition *wf-color* **where**

— conditions about colors, part of the invariant of the algorithm

$wf\text{-}color\ e \equiv$
 $colored\ e \subseteq vertices$
 $\wedge\ black\ e \cap\ gray\ e = \{\}$
 $\wedge\ (\bigcup\ sccs\ e) \subseteq black\ e$
 $\wedge\ set\ (stack\ e) = gray\ e \cup (black\ e - \bigcup\ sccs\ e)$

definition *wf-num* **where**

— conditions about vertex numbers

$wf\text{-}num\ e \equiv$
 $int\ (sn\ e) \leq \infty$
 $\wedge\ (\forall x. -1 \leq num\ e\ x \wedge (num\ e\ x = \infty \vee num\ e\ x < int\ (sn\ e)))$
 $\wedge\ sn\ e = card\ (colored\ e)$
 $\wedge\ (\forall x. num\ e\ x = \infty \longleftrightarrow x \in \bigcup\ sccs\ e)$
 $\wedge\ (\forall x. num\ e\ x = -1 \longleftrightarrow x \notin colored\ e)$
 $\wedge\ (\forall x \in set\ (stack\ e). \forall y \in set\ (stack\ e).$
 $\quad (num\ e\ x \leq num\ e\ y \longleftrightarrow y \preceq x\ in\ (stack\ e)))$

lemma *subenv-num*:

— If e and e' are two well-formed environments, and e is a sub-environment of e' then the number assigned by e' to any vertex is at least that assigned by e .

assumes *sub*: $subenv\ e\ e'$
and e : $wf\text{-}color\ e\ wf\text{-}num\ e$
and e' : $wf\text{-}color\ e'\ wf\text{-}num\ e'$
shows $num\ e\ x \leq num\ e'\ x$

proof (*cases* $x \in colored\ e$)

case *True* **then show** *?thesis* **unfolding** *colored-def*

proof

assume $x \in gray\ e$
with $e\ sub$ **show** *?thesis*
by (*auto simp: wf-color-def subenv-def*)

next

assume $x \in black\ e$
show *?thesis*
proof (*cases* $x \in \bigcup\ sccs\ e$)
case *True*
with $sub\ e\ e'$ **have** $num\ e\ x = \infty\ num\ e'\ x = \infty$
by (*auto simp: subenv-def wf-num-def*)
thus *?thesis* **by** *simp*

next

case *False*
with ($x \in black\ e$) $e\ sub$ **show** *?thesis*
by (*auto simp: wf-color-def subenv-def*)

qed

```

qed
next
  case False with  $e e'$  show ?thesis
    unfolding wf-num-def by metis
qed

```

definition *no-black-to-white* **where**

— successors of black vertices cannot be white
 $no-black-to-white\ e \equiv \forall x\ y. edge\ x\ y \wedge x \in black\ e \longrightarrow y \in colored\ e$

definition *wf-env* **where**

$wf-env\ e \equiv$
 $wf-color\ e \wedge wf-num\ e$
 $\wedge no-black-to-white\ e \wedge distinct\ (stack\ e)$
 $\wedge (\forall x\ y. y \preceq x\ in\ (stack\ e) \longrightarrow reachable\ x\ y)$
 $\wedge (\forall y \in set\ (stack\ e). \exists g \in gray\ e. y \preceq g\ in\ (stack\ e) \wedge reachable\ y\ g)$
 $\wedge sccs\ e = \{ C . C \subseteq black\ e \wedge is-scc\ C \}$

lemma *num-in-stack*:

assumes $wf-env\ e$ **and** $x \in set\ (stack\ e)$
shows $num\ e\ x \neq -1$
 $num\ e\ x < int\ (sn\ e)$

proof —

from *assms*
show $num\ e\ x \neq -1$
by (*auto simp: wf-env-def wf-color-def wf-num-def colored-def*)
from $\langle wf-env\ e \rangle$
have $num\ e\ x < int\ (sn\ e) \vee x \in \bigcup sccs\ e$
unfolding *wf-env-def wf-num-def* **by** *metis*
with *assms* **show** $num\ e\ x < int\ (sn\ e)$
unfolding *wf-env-def wf-color-def* **by** *blast*

qed

Numbers assigned to different stack elements are distinct.

lemma *num-inj*:

assumes $wf-env\ e$ **and** $x \in set\ (stack\ e)$
and $y \in set\ (stack\ e)$ **and** $num\ e\ x = num\ e\ y$
shows $x = y$
using *assms* **unfolding** *wf-env-def wf-num-def*
by (*metis precedes-refl precedes-antisym*)

The set of black elements at the top of the stack together with the first gray element always form a sub-SCC. This lemma is useful for the “else” branch of *dfs1*.

lemma *first-gray-yields-subsc*:

assumes $e: wf-env\ e$
and $x: stack\ e = ys\ @\ (x\ \# \ zs)$
and $g: x \in gray\ e$
and $ys: set\ ys \subseteq black\ e$

shows *is-subsc* (*insert x (set ys)*)
proof –
from *e x* **have** $\forall y \in \text{set } ys. \exists g \in \text{gray } e. \text{reachable } y \ g$
unfolding *wf-env-def* **by** *force*
moreover
have $\forall g \in \text{gray } e. \text{reachable } g \ x$
proof
fix *g*
assume $g \in \text{gray } e$
with *e x ys* **have** $g \in \text{set } (x \# zs)$
unfolding *wf-env-def wf-color-def* **by** *auto*
with *e x* **show** *reachable g x*
unfolding *wf-env-def precedes-def* **by** *blast*
qed
moreover
from *e x g* **have** $\forall y \in \text{set } ys. \text{reachable } x \ y$
unfolding *wf-env-def* **by** (*simp add: split-list-precedes*)
ultimately show *?thesis*
unfolding *is-subsc-def*
by (*metis reachable-trans reachable-refl insertE*)
qed

7 Partial correctness of the main functions

We now define the pre- and post-conditions for proving that the functions *dfs1* and *dfs* are partially correct. The parameters of the preconditions, as well as the first parameters of the postconditions, coincide with the parameters of the functions *dfs1* and *dfs*. The final parameter of the postconditions represents the result computed by the function.

definition *dfs1-pre* **where**

dfs1-pre x e \equiv
 $x \in \text{vertices}$
 $\wedge x \notin \text{colored } e$
 $\wedge (\forall g \in \text{gray } e. \text{reachable } g \ x)$
 $\wedge \text{wf-env } e$

definition *dfs1-post* **where**

dfs1-post x e res \equiv
 $\text{let } n = \text{fst } res; e' = \text{snd } res$
in $\text{wf-env } e'$
 $\wedge \text{subenv } e \ e'$
 $\wedge x \in \text{black } e'$
 $\wedge n \leq \text{num } e' \ x$
 $\wedge (n = \infty \vee (\exists y \in \text{set } (\text{stack } e'). \text{num } e' \ y = n \wedge \text{reachable } x \ y))$
 $\wedge (\forall y. \text{xedge-to } (\text{stack } e') (\text{stack } e) \ y \longrightarrow n \leq \text{num } e' \ y)$

definition *dfs-pre* **where**

dfs-pre roots e \equiv

$roots \subseteq vertices$
 $\wedge (\forall x \in roots. \forall g \in gray\ e. \text{reachable } g\ x)$
 $\wedge wf\text{-env } e$

definition *dfs-post* **where**

$dfs\text{-post } roots\ e\ res \equiv$
 $let\ n = fst\ res; e' = snd\ res$
 $in\ wf\text{-env } e'$
 $\wedge subenv\ e\ e'$
 $\wedge roots \subseteq colored\ e'$
 $\wedge (\forall x \in roots. n \leq num\ e'\ x)$
 $\wedge (n = \infty \vee (\exists x \in roots. \exists y \in set\ (stack\ e'). num\ e'\ y = n \wedge \text{reachable } x$
 $y))$
 $\wedge (\forall y. xedge\text{-to } (stack\ e')\ (stack\ e)\ y \longrightarrow n \leq num\ e'\ y)$

The following lemmas express some useful consequences of the pre- and post-conditions. In particular, the preconditions ensure that the function calls terminate.

lemma *dfs1-pre-domain*:

assumes *dfs1-pre* $x\ e$
shows $colored\ e \subseteq vertices$
 $x \in vertices - colored\ e$
 $x \notin set\ (stack\ e)$
 $int\ (sn\ e) < \infty$
using *assms vfin*
unfolding *dfs1-pre-def wf-env-def wf-color-def wf-num-def colored-def*
by (*auto intro: psubset-card-mono*)

lemma *dfs1-pre-dfs1-dom*:

$dfs1\text{-pre } x\ e \implies dfs1\text{-dfs-dom } (Inl(x,e))$
unfolding *dfs1-pre-def wf-env-def wf-color-def wf-num-def*
by (*auto simp: colored-num-def intro!: dfs1-dfs-termination*)

lemma *dfs-pre-dfs-dom*:

$dfs\text{-pre } roots\ e \implies dfs1\text{-dfs-dom } (Inr(roots,e))$
unfolding *dfs-pre-def wf-env-def wf-color-def wf-num-def*
by (*auto simp: colored-num-def intro!: dfs1-dfs-termination*)

lemma *dfs-post-stack*:

assumes *dfs-post* $roots\ e\ res$
obtains s **where**
 $stack\ (snd\ res) = s @ stack\ e$
 $set\ s \subseteq black\ (snd\ res)$
 $\forall x \in set\ (stack\ e). num\ (snd\ res)\ x = num\ e\ x$
using *assms* **unfolding** *dfs-post-def subenv-def* **by** *auto*

lemma *dfs-post-split*:

```

fixes  $x e res$ 
defines  $n' \equiv fst\ res$ 
defines  $e' \equiv snd\ res$ 
defines  $l \equiv fst\ (split\text{-}list\ x\ (stack\ e'))$ 
defines  $r \equiv snd\ (split\text{-}list\ x\ (stack\ e'))$ 
assumes  $post: dfs\text{-}post\ (successors\ x)\ (add\text{-}stack\text{-}incr\ x\ e)\ res$ 
            $(is\ dfs\text{-}post\ ?roots\ ?e\ res)$ 
obtains  $ys$  where
   $l = ys\ @\ [x]$ 
   $x \notin set\ ys$ 
   $set\ ys \subseteq black\ e'$ 
   $stack\ e' = l\ @\ r$ 
   $is\ subsc\ (set\ l)$ 
   $r = stack\ e$ 
proof –
from  $post$  have  $dist: distinct\ (stack\ e')$ 
  unfolding  $dfs\text{-}post\text{-}def\ wf\text{-}env\text{-}def\ e'\text{-}def$  by  $auto$ 
from  $post$  obtain  $s$  where
   $s: stack\ e' = s\ @\ (x\ \# \ stack\ e)\ set\ s \subseteq black\ e'$ 
  unfolding  $add\text{-}stack\text{-}incr\text{-}def\ e'\text{-}def$ 
  by  $(auto\ intro: dfs\text{-}post\text{-}stack)$ 
then obtain  $ys$  where  $ys: l = ys\ @\ [x]\ x \notin set\ ys\ stack\ e' = l\ @\ r$ 
  unfolding  $add\text{-}stack\text{-}incr\text{-}def\ l\text{-}def\ r\text{-}def$ 
  by  $(metis\ in\text{-}set\text{-}conv\text{-}decomp\ split\text{-}list\text{-}concat\ fst\text{-}split\text{-}list)$ 
with  $s$  have  $l: l = (s\ @\ [x]) \wedge r = stack\ e$ 
  by  $(metis\ dist\ append.\ assoc\ append.\ simps(1)\ append.\ simps(2)\ append\text{-}Cons\text{-}eq\text{-}iff\ distinct.\ simps(2)\ distinct\text{-}append)$ 
from  $post$  have  $wf\text{-}env\ e'\ x \in gray\ e'$ 
  by  $(auto\ simp: dfs\text{-}post\text{-}def\ subenv\text{-}def\ add\text{-}stack\text{-}incr\text{-}def\ e'\text{-}def)$ 
with  $s\ l$  have  $is\ subsc\ (set\ l)$ 
  by  $(auto\ simp: add\text{-}stack\text{-}incr\text{-}def\ intro: first\text{-}gray\text{-}yields\text{-}subsc)$ 
with  $s\ ys\ l$  that show  $?thesis$  by  $auto$ 
qed

```

A crucial lemma establishing a condition after the “then” branch following the recursive call in function $dfs1$.

```

lemma  $dfs\text{-}post\text{-}reach\text{-}gray:$ 
fixes  $x e res$ 
defines  $n' \equiv fst\ res$ 
defines  $e' \equiv snd\ res$ 
assumes  $e: wf\text{-}env\ e$ 
           and  $post: dfs\text{-}post\ (successors\ x)\ (add\text{-}stack\text{-}incr\ x\ e)\ res$ 
            $(is\ dfs\text{-}post\ ?roots\ ?e\ res)$ 
           and  $n': n' < int\ (sn\ e)$ 
obtains  $g$  where
   $g \neq x\ g \in gray\ e'\ x \preceq g\ in\ (stack\ e')$ 
   $reachable\ x\ g\ reachable\ g\ x$ 
proof –
from  $post$  have  $e': wf\text{-}env\ e'\ subenv\ ?e\ e'$ 

```

```

  by (auto simp: dfs-post-def e'-def)
hence  $x \in e'$ :  $x \in \text{set } (\text{stack } e')$   $x \in \text{vertices } \text{num } e' \ x = \text{int}(sn \ e)$ 
  by (auto simp: add-stack-incr-def subenv-def wf-env-def wf-color-def colored-def)
from  $e \ n'$  have  $n' \neq \infty$ 
  unfolding wf-env-def wf-num-def by simp
with post  $e'$  obtain  $sx \ y \ g$  where
   $g: sx \in ?\text{roots} \ y \in \text{set } (\text{stack } e') \ \text{num } e' \ y = n' \ \text{reachable } sx \ y$ 
   $g \in \text{gray } e' \ g \in \text{set } (\text{stack } e') \ y \preceq g \ \text{in } (\text{stack } e') \ \text{reachable } y \ g$ 
  unfolding dfs-post-def e'-def n'-def wf-env-def
  by (fastforce intro: precedes-mem )
with  $e'$  have  $\text{num } e' \ g \leq \text{num } e' \ y$ 
  unfolding wf-env-def wf-num-def by metis
with  $n' \ x \in e' \ (\text{num } e' \ y = n')$ 
have  $\text{num } e' \ g \leq \text{num } e' \ x \ g \neq x$  by auto
with  $\langle g \in \text{set } (\text{stack } e') \rangle \langle x \in \text{set } (\text{stack } e') \rangle e'$ 
have  $g \neq x \wedge x \preceq g \ \text{in } (\text{stack } e') \wedge \text{reachable } g \ x$ 
  unfolding wf-env-def wf-num-def by auto
moreover
from  $g$  have  $\text{reachable } x \ g$ 
  by (metis reachable-succ reachable-trans)
moreover
note  $\langle g \in \text{gray } e' \rangle$  that
ultimately show ?thesis by auto
qed

```

The following lemmas represent steps in the proof of partial correctness.

lemma *dfs1-pre-dfs-pre*:

— The precondition of *dfs1* establishes that of the recursive call to *dfs*.

assumes *dfs1-pre* $x \ e$

shows *dfs-pre* (*successors* x) (*add-stack-incr* $x \ e$)

(**is** *dfs-pre* $?\text{roots}' \ ?e'$)

proof —

from *assms* *sclosed* **have** $?\text{roots}' \subseteq \text{vertices}$

unfolding *dfs1-pre-def* by blast

moreover

from *assms* **have** $\forall y \in ?\text{roots}'. \forall g \in \text{gray } ?e'. \text{reachable } g \ y$

unfolding *dfs1-pre-def* *add-stack-incr-def*

by (auto dest: *succ-reachable* *reachable-trans*)

moreover

{

from *assms* **have** *wf-col'*: *wf-color* $?e'$

by (auto simp: *dfs1-pre-def* *wf-env-def* *wf-color-def*
add-stack-incr-def *colored-def*)

note $1 = \text{dfs1-pre-domain}[OF \ \text{assms}]$

from *assms* 1 **have** *dist'*: *distinct* (*stack* $?e'$)

unfolding *dfs1-pre-def* *wf-env-def* *add-stack-incr-def* by auto

from *assms* **have** $3: sn \ e = \text{card } (\text{colored } e)$

unfolding *dfs1-pre-def* *wf-env-def* *wf-num-def* by simp

from 1 **have** $4: \text{int } (sn \ ?e') \leq \infty$

unfolding *add-stack-incr-def* **by** *simp*
with *assms* **have** 5: $\forall x. -1 \leq \text{num } ?e' x \wedge (\text{num } ?e' x = \infty \vee \text{num } ?e' x < \text{int } (sn \ ?e'))$
unfolding *dfs1-pre-def wf-env-def wf-num-def add-stack-incr-def* **by** *auto*
from 1 *vfin* **have** *finite* (*colored e*) **using** *finite-subset* **by** *blast*
with 1 3 **have** 6: $sn \ ?e' = \text{card } (\text{colored } ?e')$
unfolding *add-stack-incr-def colored-def* **by** *auto*
from *assms* 1 3 **have** 7: $\forall y. \text{num } ?e' y = \infty \iff y \in \bigcup \text{scs } ?e'$
by (*auto simp: dfs1-pre-def wf-env-def wf-num-def add-stack-incr-def colored-def*)
from *assms* 3 **have** 8: $\forall y. \text{num } ?e' y = -1 \iff y \notin \text{colored } ?e'$
by (*auto simp: dfs1-pre-def wf-env-def wf-num-def add-stack-incr-def colored-def*)
from *assms* 1 **have** $\forall y \in \text{set } (\text{stack } e). \text{num } ?e' y < \text{num } ?e' x$
unfolding *dfs1-pre-def add-stack-incr-def*
by (*auto dest: num-in-stack*)
moreover
have $\forall y \in \text{set } (\text{stack } e). x \preceq y \text{ in } (\text{stack } ?e')$
unfolding *add-stack-incr-def* **by** (*auto intro: head-precedes*)
moreover
from 1 **have** $\forall y \in \text{set } (\text{stack } e). \neg(y \preceq x \text{ in } (\text{stack } ?e'))$
unfolding *add-stack-incr-def* **by** (*auto dest: tail-not-precedes*)
moreover
{
fix *y z*
assume $y \in \text{set } (\text{stack } e) \ z \in \text{set } (\text{stack } e)$
with 1 **have** $x \neq y$ **by** *auto*
hence $y \preceq z \text{ in } (\text{stack } ?e') \iff y \preceq z \text{ in } (\text{stack } e)$
by (*simp add: add-stack-incr-def precedes-in-tail*)
}
ultimately
have 9: $\forall y \in \text{set } (\text{stack } ?e'). \forall z \in \text{set } (\text{stack } ?e').$
 $\text{num } ?e' y \leq \text{num } ?e' z \iff z \preceq y \text{ in } (\text{stack } ?e')$
using *assms*
unfolding *dfs1-pre-def wf-env-def wf-num-def add-stack-incr-def*
by *auto*
from 4 5 6 7 8 9 **have** *wf-num'*: $wf\text{-num } ?e'$
unfolding *wf-num-def* **by** *blast*
from *assms* **have** *nbtw'*: $\text{no-black-to-white } ?e'$
by (*auto simp: dfs1-pre-def wf-env-def no-black-to-white-def add-stack-incr-def colored-def*)

have *stg'*: $\forall y \in \text{set } (\text{stack } ?e'). \exists g \in \text{gray } ?e'.$
 $y \preceq g \text{ in } (\text{stack } ?e') \wedge \text{reachable } y g$
proof
fix *y*
assume $y \in \text{set } (\text{stack } ?e')$
show $\exists g \in \text{gray } ?e'. y \preceq g \text{ in } (\text{stack } ?e') \wedge \text{reachable } y g$
proof (*cases y = x*)
case *True*

```

    then show ?thesis
      unfolding add-stack-incr-def by auto
next
case False
with y have y ∈ set (stack e)
  by (simp add: add-stack-incr-def)
with assms obtain g where
  g ∈ gray e ∧ y ≼ g in (stack e) ∧ reachable y g
  unfolding dfs1-pre-def wf-env-def by blast
thus ?thesis
  unfolding add-stack-incr-def
  by (auto dest: precedes-append-left[where ys=[x]])
qed
qed

have str': ∀ y z. y ≼ z in (stack ?e') → reachable z y
proof (clarify)
  fix y z
  assume yz: y ≼ z in stack ?e'
  show reachable z y
  proof (cases y = x)
    case True
    from yz[THEN precedes-mem(2)] stg'
    obtain g where g ∈ gray ?e' reachable z g by blast
    with True assms show ?thesis
      unfolding dfs1-pre-def add-stack-incr-def
      by (auto elim: reachable-trans)
  next
  case False
  with yz have yze: y ≼ z in stack e
    by (simp add: add-stack-incr-def precedes-in-tail)
  with assms show ?thesis
    unfolding dfs1-pre-def wf-env-def by blast
  qed
qed
from assms have sccs (add-stack-incr x e) =
  {C . C ⊆ black (add-stack-incr x e) ∧ is-scc C}
  by (auto simp: dfs1-pre-def wf-env-def add-stack-incr-def)
with wf-col' wf-num' nbtw' dist' str' stg'
have wf-env ?e'
  unfolding wf-env-def by blast
}
ultimately show ?thesis
  unfolding dfs-pre-def by blast
qed

```

lemma *dfs-pre-dfs1-pre*:

— The precondition of *dfs* establishes that of the recursive call to *dfs1*, for any $x \in \text{roots}$ such that $\text{num } e \ x = -1$.

assumes $dfs\text{-}pre$ roots e **and** $x \in roots$ **and** $num\ e\ x = -1$
shows $dfs1\text{-}pre\ x\ e$
using $assms$ **unfolding** $dfs\text{-}pre\text{-}def\ dfs1\text{-}pre\text{-}def\ wf\text{-}env\text{-}def\ wf\text{-}num\text{-}def$ **by** $auto$

Prove the post-condition of $dfs1$ for the “then” branch in the definition of $dfs1$, assuming that the recursive call to dfs establishes its post-condition.

lemma $dfs\text{-}post\text{-}dfs1\text{-}post\text{-}case1$:

fixes $x\ e$
defines $res1 \equiv dfs\ (successors\ x)\ (add\text{-}stack\text{-}incr\ x\ e)$
defines $n1 \equiv fst\ res1$
defines $e1 \equiv snd\ res1$
defines $res \equiv dfs1\ x\ e$
assumes pre : $dfs1\text{-}pre\ x\ e$
and $post$: $dfs\text{-}post\ (successors\ x)\ (add\text{-}stack\text{-}incr\ x\ e)\ res1$
and lt : $fst\ res1 < int\ (sn\ e)$
shows $dfs1\text{-}post\ x\ e\ res$
proof –
let $?e' = add\text{-}black\ x\ e1$
from pre **have** dom : $dfs1\text{-}dfs\text{-}dom\ (Inl\ (x,\ e))$
by ($rule\ dfs1\text{-}pre\text{-}dfs1\text{-}dom$)
from $lt\ dom$ **have** $dfs1$: $res = (n1,\ ?e')$
by ($simp\ add$: $res1\text{-}def\ n1\text{-}def\ e1\text{-}def\ res\text{-}def\ case\text{-}prod\text{-}beta\ dfs1.\textit{psimps}$)
from $post$ **have** $wf\text{-}env1$: $wf\text{-}env\ e1$
unfolding $dfs\text{-}post\text{-}def\ e1\text{-}def$ **by** $auto$
from $post$ **obtain** s **where** s : $stack\ e1 = s @ stack\ (add\text{-}stack\text{-}incr\ x\ e)$
unfolding $e1\text{-}def$ **by** ($blast\ intro$: $dfs\text{-}post\text{-}stack$)
from $post$ **have** $x\text{-}e1$: $x \in set\ (stack\ e1)$
by ($auto\ intro$: $dfs\text{-}post\text{-}stack\ simp$: $e1\text{-}def\ add\text{-}stack\text{-}incr\text{-}def$)
from $post$ **have** $se1$: $subenv\ (add\text{-}stack\text{-}incr\ x\ e)\ e1$
unfolding $dfs\text{-}post\text{-}def$ **by** ($simp\ add$: $e1\text{-}def\ split\text{-}def$)
from $pre\ lt\ post$ **obtain** g **where**
 g : $g \neq x\ g \in gray\ e1\ x \preceq g$ **in** ($stack\ e1$)
 $reachable\ x\ g\ reachable\ g\ x$
unfolding $e1\text{-}def$ **using** $dfs\text{-}post\text{-}reach\text{-}gray\ dfs1\text{-}pre\text{-}def$ **by** $blast$

have $wf\text{-}env'$: $wf\text{-}env\ ?e'$

proof –

from $wf\text{-}env1\ dfs1\text{-}pre\text{-}domain[OF\ pre]\ x\text{-}e1$ **have** $wf\text{-}color\ ?e'$
by ($auto\ simp$: $dfs\text{-}pre\text{-}def\ wf\text{-}env\text{-}def\ wf\text{-}color\text{-}def\ add\text{-}black\text{-}def\ colored\text{-}def$)
moreover
from $se1$
have $x \in gray\ e1\ colored\ ?e' = colored\ e1$
by ($auto\ simp$: $subenv\text{-}def\ add\text{-}stack\text{-}incr\text{-}def\ add\text{-}black\text{-}def\ colored\text{-}def$)
with $wf\text{-}env1$ **have** $wf\text{-}num\ ?e'$
unfolding $dfs\text{-}pre\text{-}def\ wf\text{-}env\text{-}def\ wf\text{-}num\text{-}def\ add\text{-}black\text{-}def$ **by** $auto$
moreover
from $post\ wf\text{-}env1$ **have** $no\text{-}black\text{-}to\text{-}white\ ?e'$
unfolding $dfs\text{-}post\text{-}def\ wf\text{-}env\text{-}def\ no\text{-}black\text{-}to\text{-}white\text{-}def$
 $add\text{-}black\text{-}def\ e1\text{-}def\ subenv\text{-}def\ colored\text{-}def$

```

    by auto
  moreover
  {
    fix y
    assume y ∈ set (stack ?e')
    hence y: y ∈ set (stack e1) by (simp add: add-black-def)
    with wf-env1 obtain z where
      z: z ∈ gray e1
      y ≼ z in stack e1
      reachable y z
    unfolding wf-env-def by blast
    have ∃ g ∈ gray ?e'.
      y ≼ g in (stack ?e') ∧ reachable y g
    proof (cases z ∈ gray ?e')
      case True with z show ?thesis by (auto simp: add-black-def)
    next
      case False
      with z have z = x by (simp add: add-black-def)
      with g z wf-env1 show ?thesis
        unfolding wf-env-def add-black-def
        by (auto elim: reachable-trans precedes-trans)
    qed
  }
  moreover
  have sccs ?e' = {C . C ⊆ black ?e' ∧ is-scc C}
  proof -
    {
      fix C
      assume C ∈ sccs ?e'
      with post have is-scc C ∧ C ⊆ black ?e'
        unfolding dfs-post-def wf-env-def add-black-def e1-def by auto
    }
    moreover
    {
      fix C
      assume C: is-scc C C ⊆ black ?e'
      have x ∉ C
      proof
        assume xC: x ∈ C
        with (is-scc C) g have g ∈ C
          unfolding is-scc-def by (auto dest: subsc-cc-add)
        with wf-env1 g (C ⊆ black ?e') show False
          unfolding wf-env-def wf-color-def add-black-def by auto
      qed
      with post C have C ∈ sccs ?e'
        unfolding dfs-post-def wf-env-def add-black-def e1-def by auto
    }
  }
  ultimately show ?thesis by blast
  qed

```

ultimately show *?thesis* — the remaining conjuncts carry over trivially
 using *wf-env1* unfolding *wf-env-def add-black-def* by *auto*
 qed

from *pre* have $x \notin \text{set } (\text{stack } e) \ x \notin \text{gray } e$
 unfolding *dfs1-pre-def wf-env-def wf-color-def colored-def* by *auto*
 with *se1* have *subenv'*: $\text{subenv } e \ ?e'$
 unfolding *subenv-def add-stack-incr-def add-black-def*
 by (*auto split: if-split-asm*)

have *xblack'*: $x \in \text{black } ?e'$
 unfolding *add-black-def* by *simp*

from *lt* have $n1 < \text{num } (\text{add-stack-incr } x \ e) \ x$
 unfolding *add-stack-incr-def n1-def* by *simp*
 also have $\dots = \text{num } e1 \ x$
 using *se1* unfolding *subenv-def add-stack-incr-def* by *auto*
 finally have *xnum'*: $n1 \leq \text{num } ?e' \ x$
 unfolding *add-black-def* by *simp*

from *lt pre* have $n1 \neq \infty$
 unfolding *dfs1-pre-def wf-env-def wf-num-def n1-def* by *simp*
 with *post* obtain *sx y* where
 $sx \in \text{successors } x \ y \in \text{set } (\text{stack } ?e') \ \text{num } ?e' \ y = n1 \ \text{reachable } sx \ y$
 unfolding *dfs-post-def add-black-def n1-def e1-def* by *auto*
 with *dfs1-pre-domain[OF pre]*
 have *n1'*: $\exists y \in \text{set } (\text{stack } ?e'). \ \text{num } ?e' \ y = n1 \ \wedge \ \text{reachable } x \ y$
 by (*auto intro: reachable-trans*)

{
 fix *y*
 assume *xedge-to* $(\text{stack } ?e') \ (\text{stack } e) \ y$
 then obtain *zs z* where
 $y: \text{stack } ?e' = zs \ @ \ (\text{stack } e) \ z \in \text{set } zs \ y \in \text{set } (\text{stack } e) \ \text{edge } z \ y$
 unfolding *xedge-to-def* by *auto*
 have $n1 \leq \text{num } ?e' \ y$
 proof (*cases z=x*)
 case *True*
 with $\langle \text{edge } z \ y \rangle$ *post* show *?thesis*
 unfolding *dfs-post-def add-black-def n1-def e1-def* by *auto*
 next
 case *False*
 with *s y* have *xedge-to* $(\text{stack } e1) \ (\text{stack } (\text{add-stack-incr } x \ e)) \ y$
 unfolding *xedge-to-def add-black-def add-stack-incr-def* by *auto*
 with *post* show *?thesis*
 unfolding *dfs-post-def add-black-def n1-def e1-def* by *auto*
 qed
 }

```

with dfs1 wf-env' subenv' xblack' xnum' n1'
show ?thesis unfolding dfs1-post-def by simp
qed

```

Prove the post-condition of *dfs1* for the “else” branch in the definition of *dfs1*, assuming that the recursive call to *dfs* establishes its post-condition.

```

lemma dfs-post-dfs1-post-case2:
  fixes x e
  defines res1  $\equiv$  dfs (successors x) (add-stack-incr x e)
  defines n1  $\equiv$  fst res1
  defines e1  $\equiv$  snd res1
  defines res  $\equiv$  dfs1 x e
  assumes pre: dfs1-pre x e
    and post: dfs-post (successors x) (add-stack-incr x e) res1
    and nlt:  $\neg(n1 < int (sn e))$ 
  shows dfs1-post x e res
proof –
  let ?split = split-list x (stack e1)
  let ?e' = ( $\lfloor$  black = insert x (black e1),
    gray = gray e,
    stack = snd ?split,
    sccs = insert (set (fst ?split)) (sccs e1),
    sn = sn e1,
    num = set-infty (fst ?split) (num e1)  $\rfloor$ )
  from pre have dom: dfs1-dfs-dom (Inl (x, e))
    by (rule dfs1-pre-dfs1-dom)
  from dom nlt have res: res = ( $\infty$ , ?e')
    by (simp add: res1-def n1-def e1-def res-def case-prod-beta dfs1.psimps)
  from post have wf-e1: wf-env e1 subenv (add-stack-incr x e) e1
    successors x  $\subseteq$  colored e1
    by (auto simp: dfs-post-def e1-def)
  hence gray': gray e1 = insert x (gray e)
    by (auto simp: subenv-def add-stack-incr-def)
  from post obtain l where
    l: fst ?split = l @ [x]
    x  $\notin$  set l
    set l  $\subseteq$  black e1
    stack e1 = fst ?split @ snd ?split
    is-subsc (set (fst ?split))
    snd ?split = stack e
    unfolding e1-def by (blast intro: dfs-post-split)
  hence x: x  $\in$  set (stack e1) by auto
  from l have stack: set (stack e)  $\subseteq$  set (stack e1) by auto
  from wf-e1 l
  have dist: x  $\notin$  set l x  $\notin$  set (stack e)
    set l  $\cap$  set (stack e) =  $\{\}$ 
    set (fst ?split)  $\cap$  set (stack e) =  $\{\}$ 
    unfolding wf-env-def by auto

```

with $\langle \text{stack } e1 = \text{fst } ?\text{split} @ \text{snd } ?\text{split} \rangle \langle \text{snd } ?\text{split} = \text{stack } e \rangle$
have $\text{prec}: \forall y \in \text{set } (\text{stack } e). \forall z. y \preceq z \text{ in } (\text{stack } e1) \longleftrightarrow y \preceq z \text{ in } (\text{stack } e)$
by $(\text{metis precedes-append-left-iff Int-iff empty-iff})$
from post **have** $\text{numx}: \text{num } e1 \ x = \text{int } (\text{sn } e)$
unfolding $\text{dfs-post-def subenv-def add-stack-incr-def } e1\text{-def}$ **by** auto

All nodes contained in the same SCC as x are elements of $\text{fst } ?\text{split}$. Therefore, $\text{set } (\text{fst } ?\text{split})$ constitutes an SCC.

```

{
  fix y
  assume xy: reachable x y and yx: reachable y x
    and y: y ∉ set (fst ?split)
  from l(1) have x ∈ set (fst ?split) by simp
  with xy y obtain x' y' where
    y': reachable x x' edge x' y' reachable y' y
    x' ∈ set (fst ?split) y' ∉ set (fst ?split)
    using reachable-crossing-set by metis
  with wf-e1 l have y' ∈ colored e1
    unfolding wf-env-def no-black-to-white-def by auto
  from ⟨reachable x x'⟩ ⟨edge x' y'⟩ have reachable x y'
    using reachable-succ reachable-trans by blast
  moreover
  from ⟨reachable y' y⟩ ⟨reachable y x⟩ have reachable y' x
    by (rule reachable-trans)
  ultimately have y' ∉ ⋃ sccs e1
    using wf-e1 gray'
    by (auto simp: wf-env-def wf-color-def dest: sccE)
  with wf-e1 ⟨y' ∈ colored e1⟩ have y'e1: y' ∈ set (stack e1)
    unfolding wf-env-def wf-color-def e1-def colored-def by auto
  with y' l have y'e: y' ∈ set (stack e) by auto
  with y' post l have numy': n1 ≤ num e1 y'
    unfolding dfs-post-def e1-def n1-def xedge-to-def add-stack-incr-def
    by force
  with numx nlt have num e1 x ≤ num e1 y' by auto
  with y'e1 x wf-e1 have y' ⪯ x in stack e1
    unfolding wf-env-def wf-num-def e1-def n1-def by auto
  with y'e have y' ⪯ x in stack e by (auto simp: prec)
  with dist have False by (simp add: precedes-mem)
}
hence ∀ y. reachable x y ∧ reachable y x ⟶ y ∈ set (fst ?split)
  by blast
with l have scc: is-scc (set (fst ?split))
  by (simp add: is-scc-def is-subsccl-def subset-antisym subsetI)

have wf-e': wf-env ?e'
proof -
  have wfc: wf-color ?e'
proof -
  from post dfs1-pre-domain[OF pre] l

```

```

have gray ?e'  $\subseteq$  vertices  $\wedge$  black ?e'  $\subseteq$  vertices
   $\wedge$  gray ?e'  $\cap$  black ?e' = {}
   $\wedge$  ( $\bigcup$  sccs ?e')  $\subseteq$  black ?e'
  by (auto simp: dfs-post-def wf-env-def wf-color-def e1-def subenv-def
      add-stack-incr-def colored-def)
moreover
have set (stack ?e') = gray ?e'  $\cup$  (black ?e' -  $\bigcup$  sccs ?e') (is ?lhs = ?rhs)
proof
  from wf-e1 dist l show ?lhs  $\subseteq$  ?rhs
    by (auto simp: wf-env-def wf-color-def e1-def subenv-def
        add-stack-incr-def colored-def)
next
from l have stack ?e' = stack e gray ?e' = gray e by simp+
moreover
from pre have gray e  $\subseteq$  set (stack e)
  unfolding dfs1-pre-def wf-env-def wf-color-def by auto
moreover
{
  fix v
  assume v  $\in$  black ?e' -  $\bigcup$  sccs ?e'
  with l wf-e1
  have v  $\in$  black e1 v  $\notin$   $\bigcup$  sccs e1 v  $\notin$  insert x (set l)
    v  $\in$  set (stack e1)
    unfolding wf-env-def wf-color-def by auto
    with l have v  $\in$  set (stack e) by auto
}
ultimately show ?rhs  $\subseteq$  ?lhs by auto
qed
ultimately show ?thesis
  unfolding wf-color-def colored-def by blast
qed
moreover
from wf-e1 l dist prec gray' have wf-num ?e'
  unfolding wf-env-def wf-num-def colored-def
  by (auto simp: set-infty)
moreover
from wf-e1 gray' have no-black-to-white ?e'
  by (auto simp: wf-env-def no-black-to-white-def colored-def)
moreover
from wf-e1 l have distinct (stack ?e')
  unfolding wf-env-def by auto
moreover
from wf-e1 prec
have  $\forall y z. y \preceq z$  in (stack e)  $\longrightarrow$  reachable z y
  unfolding wf-env-def by (metis precedes-mem(1))
moreover
from wf-e1 prec stack dfs1-pre-domain[OF pre] gray'
have  $\forall y \in$  set (stack e).  $\exists g \in$  gray e.  $y \preceq g$  in (stack e)  $\wedge$  reachable y g
  unfolding wf-env-def by (metis insert-iff subsetCE precedes-mem(2))

```

```

moreover
from wf-e1 l scc have sccs ?e' = {C . C ⊆ black ?e' ∧ is-scc C}
  by (auto simp: wf-env-def dest: scc-partition)
ultimately show ?thesis
  using l unfolding wf-env-def by simp
qed

from post l dist have sub: subenv e ?e'
  unfolding dfs-post-def subenv-def e1-def add-stack-incr-def
  by (auto simp: set-infty)

from l have num: ∞ ≤ num ?e' x
  by (auto simp: set-infty)

from l have  $\forall y. \text{xedge-to } (\text{stack } ?e') (\text{stack } e) y \longrightarrow \infty \leq \text{num } ?e' y$ 
  unfolding xedge-to-def by auto

with res wf-e' sub num show ?thesis
  unfolding dfs1-post-def res-def by simp
qed

```

The following main lemma establishes the partial correctness of the two mutually recursive functions. The domain conditions appear explicitly as hypotheses, although we already know that they are subsumed by the preconditions. They are needed for the application of the “partial induction” rule generated by Isabelle for recursive functions whose termination was not proved. We will remove them in the next step.

```

lemma dfs-partial-correct:
  fixes x roots e
  shows
     $\llbracket \text{dfs1-dfs-dom } (\text{Inl}(x,e)); \text{dfs1-pre } x e \rrbracket \implies \text{dfs1-post } x e (\text{dfs1 } x e)$ 
     $\llbracket \text{dfs1-dfs-dom } (\text{Inr}(\text{roots},e)); \text{dfs-pre } \text{roots } e \rrbracket \implies \text{dfs-post } \text{roots } e (\text{dfs } \text{roots } e)$ 
  proof (induct rule: dfs1-dfs.pinduct)
    fix x e
    let ?res1 = dfs1 x e
    let ?res' = dfs (successors x) (add-stack-incr x e)
    assume ind: dfs-pre (successors x) (add-stack-incr x e)
       $\implies \text{dfs-post } (\text{successors } x) (\text{add-stack-incr } x e) ?res'$ 
    and pre: dfs1-pre x e
    have post: dfs-post (successors x) (add-stack-incr x e) ?res'
      by (rule ind) (rule dfs1-pre-dfs-pre[OF pre])
    show dfs1-post x e ?res1
    proof (cases fst ?res' < int (sn e))
      case True with pre post show ?thesis by (rule dfs-post-dfs1-post-case1)
    next
      case False
      with pre post show ?thesis by (rule dfs-post-dfs1-post-case2)
    qed
  next

```

```

fix roots e
let ?res' = dfs roots e
let ?dfs1 = λx. dfs1 x e
let ?dfs = λx e'. dfs (roots - {x}) e'
assume ind1: ∧x. [ roots ≠ {}; x = (SOME x. x ∈ roots);
                  ¬ num e x ≠ - 1; dfs1-pre x e ]
                  ⇒ dfs1-post x e (?dfs1 x)
  and ind': ∧x res1.
    [ roots ≠ {}; x = (SOME x. x ∈ roots);
      res1 = (if num e x ≠ - 1 then (num e x, e) else ?dfs1 x);
      dfs-pre (roots - {x}) (snd res1) ]
    ⇒ dfs-post (roots - {x}) (snd res1) (?dfs x (snd res1))
  and pre: dfs-pre roots e
from pre have dom: dfs1-dfs-dom (Inr (roots, e))
  by (rule dfs-pre-dfs-dom)
show dfs-post roots e ?res'
proof (cases roots = {})
  case True
    with pre dom show ?thesis
      unfolding dfs-pre-def dfs-post-def subenv-def xedge-to-def
      by (auto simp: dfs.psimps)
next
  case nempty: False
    define x where x = (SOME x. x ∈ roots)
    with nempty have x: x ∈ roots by (auto intro: someI)
    define res1 where
      res1 = (if num e x ≠ - 1 then (num e x, e) else ?dfs1 x)
    define res2 where
      res2 = ?dfs x (snd res1)
    have post1: num e x = -1 → dfs1-post x e (?dfs1 x)
    proof
      assume num: num e x = -1
      with pre x have dfs1-pre x e
      by (rule dfs-pre-dfs1-pre)
      with nempty num x-def show dfs1-post x e (?dfs1 x)
      by (simp add: ind1)
    qed
    have sub1: subenv e (snd res1)
    proof (cases num e x = -1)
      case True
        with post1 res1-def show ?thesis
        by (auto simp: dfs1-post-def)
      next
      case False
        with res1-def show ?thesis by simp
    qed
    have wf1: wf-env (snd res1)
    proof (cases num e x = -1)
      case True

```

```

with res1-def post1 show ?thesis
  by (auto simp: dfs1-post-def)
next
  case False
  with res1-def pre show ?thesis
    by (auto simp: dfs-pre-def)
qed
from post1 pre res1-def
have res1: dfs-pre (roots - {x}) (snd res1)
  unfolding dfs-pre-def dfs1-post-def subenv-def by auto
with nempty x-def res1-def ind'
have post: dfs-post (roots - {x}) (snd res1) (?dfs x (snd res1))
  by blast
with res2-def have sub2: subenv (snd res1) (snd res2)
  by (auto simp: dfs-post-def)
from post res2-def have wf2: wf-env (snd res2)
  by (auto simp: dfs-post-def)
from dom nempty x-def res1-def res2-def
have res: dfs roots e = (min (fst res1) (fst res2), snd res2)
  by (auto simp add: dfs.psimps)
show ?thesis
proof -
  let ?n2 = min (fst res1) (fst res2)
  let ?e2 = snd res2

  from post res2-def
  have wf-env ?e2
    unfolding dfs-post-def by auto

  moreover
  from sub1 sub2 have sub: subenv e ?e2
    by (rule subenv-trans)

  moreover
  have x ∈ colored ?e2
  proof (cases num e x = -1)
    case True
    with post1 res1-def sub2 show ?thesis
      by (auto simp: dfs1-post-def subenv-def colored-def)
  next
  case False
  with pre sub show ?thesis
    by (auto simp: dfs-pre-def wf-env-def wf-num-def subenv-def colored-def)
  qed
with post res2-def have roots ⊆ colored ?e2
  unfolding dfs-post-def by auto

  moreover
  have  $\forall y \in \text{roots}. ?n2 \leq \text{num } ?e2 \ y$ 

```

```

proof
  fix  $y$ 
  assume  $y: y \in \text{roots}$ 
  show  $?n2 \leq \text{num } ?e2 \ y$ 
  proof (cases  $y = x$ )
    case True
      show ?thesis
      proof (cases  $\text{num } e \ x = -1$ )
        case True
          with post1 res1-def have  $\text{fst } \text{res1} \leq \text{num } (\text{snd } \text{res1}) \ x$ 
            unfolding dfs1-post-def by auto
          moreover
            from wf1 wf2 sub2 have  $\text{num } (\text{snd } \text{res1}) \ x \leq \text{num } (\text{snd } \text{res2}) \ x$ 
              unfolding wf-env-def by (auto elim: subenv-num)
            ultimately show ?thesis
              using  $\langle y=x \rangle$  by simp
          next
            case False
              with res1-def wf1 wf2 sub2 have  $\text{fst } \text{res1} \leq \text{num } (\text{snd } \text{res2}) \ x$ 
                unfolding wf-env-def by (auto elim: subenv-num)
              with  $\langle y=x \rangle$  show ?thesis by simp
            qed
          next
            case False
              with  $y \ \text{post } \text{res2-def}$  have  $\text{fst } \text{res2} \leq \text{num } ?e2 \ y$ 
                unfolding dfs-post-def by auto
              thus ?thesis by simp
            qed
          qed
        next
          case False
            with  $y \ \text{post } \text{res2-def}$  have  $\text{fst } \text{res2} \leq \text{num } ?e2 \ y$ 
              unfolding dfs-post-def by auto
            thus ?thesis by simp
          qed
        qed
      moreover
        {
          assume  $n2: ?n2 \neq \infty$ 
          hence  $(\text{fst } \text{res1} \neq \infty \wedge ?n2 = \text{fst } \text{res1})$ 
             $\vee (\text{fst } \text{res2} \neq \infty \wedge ?n2 = \text{fst } \text{res2})$  by auto
          hence  $\exists r \in \text{roots}. \exists y \in \text{set } (\text{stack } ?e2). \text{num } ?e2 \ y = ?n2 \wedge \text{reachable } r \ y$ 
          proof
            assume  $n2: \text{fst } \text{res1} \neq \infty \wedge ?n2 = \text{fst } \text{res1}$ 
            have  $\exists y \in \text{set } (\text{stack } (\text{snd } \text{res1})).$ 
               $\text{num } (\text{snd } \text{res1}) \ y = (\text{fst } \text{res1}) \wedge \text{reachable } x \ y$ 
            proof (cases  $\text{num } e \ x = -1$ )
              case True
                with post1 res1-def n2 show ?thesis
                  unfolding dfs1-post-def by auto
              next
                case False
                  with wf1 res1-def n2 have  $x \in \text{set } (\text{stack } (\text{snd } \text{res1}))$ 
                    unfolding wf-env-def wf-color-def wf-num-def colored-def by auto
                  with False res1-def show ?thesis
                qed
              qed
            qed
          qed
        }
      qed
    qed
  qed

```

```

      by auto
    qed
  with sub2 x n2 show ?thesis
    unfolding subenv-def by fastforce
next
  assume fst res2 ≠ ∞ ∧ ?n2 = fst res2
  with post res2-def show ?thesis
    unfolding dfs-post-def by auto
  qed
}
hence  $?n2 = \infty \vee (\exists r \in \text{roots}. \exists y \in \text{set}(\text{stack } ?e2). \text{num } ?e2 y = ?n2 \wedge \text{reachable } r y)$ 
  by blast

moreover
have  $\forall y. \text{xedge-to}(\text{stack } ?e2)(\text{stack } e) y \longrightarrow ?n2 \leq \text{num } ?e2 y$ 
proof (clarify)
  fix y
  assume y: xedge-to (stack ?e2) (stack e) y
  show  $?n2 \leq \text{num } ?e2 y$ 
  proof (cases num e x = -1)
    case True
    from sub1 obtain s1 where
      s1: stack (snd res1) = s1 @ stack e
    by (auto simp: subenv-def)
    from sub2 obtain s2 where
      s2: stack ?e2 = s2 @ stack (snd res1)
    by (auto simp: subenv-def)
    from y obtain zs z where
      z: stack ?e2 = zs @ stack e z ∈ set zs
      y ∈ set (stack e) edge z y
    by (auto simp: xedge-to-def)
    with s1 s2 have  $z \in (\text{set } s1) \cup (\text{set } s2)$  by auto
    thus ?thesis
  proof
    assume  $z \in \text{set } s1$ 
    with s1 z have xedge-to (stack (snd res1)) (stack e) y
      by (auto simp: xedge-to-def)
    with post1 res1-def ⟨num e x = -1⟩
    have  $\text{fst res1} \leq \text{num}(\text{snd res1}) y$ 
      by (auto simp: dfs1-post-def)
    moreover
    with wf1 wf2 sub2 have  $\text{num}(\text{snd res1}) y \leq \text{num } ?e2 y$ 
      unfolding wf-env-def by (auto elim: subenv-num)
    ultimately show ?thesis by simp
  next
    assume  $z \in \text{set } s2$ 
    with s1 s2 z have xedge-to (stack ?e2) (stack (snd res1)) y
      by (auto simp: xedge-to-def)

```

```

      with post res2-def show ?thesis
        by (auto simp: dfs-post-def)
    qed
  next
    case False
    with y post res1-def res2-def show ?thesis
      unfolding dfs-post-def by auto
    qed
  qed
  qed

  ultimately show ?thesis
    using res unfolding dfs-post-def by simp
  qed
  qed
  qed

```

8 Theorems establishing total correctness

Combining the previous theorems, we show total correctness for both the auxiliary functions and the main function *tarjan*.

theorem *dfs-correct*:

dfs1-pre $x\ e \implies$ *dfs1-post* $x\ e$ (*dfs1* $x\ e$)

dfs-pre *roots* $e \implies$ *dfs-post* *roots* e (*dfs* *roots* e)

using *dfs-partial-correct* *dfs1-pre-dfs1-dom* *dfs-pre-dfs-dom* **by** (*blast+*)

theorem *tarjan-correct*: $\text{tarjan} = \{ C . \text{is-scc } C \wedge C \subseteq \text{vertices} \}$

proof –

have *dfs-pre* *vertices* *init-env*

by (*auto simp: dfs-pre-def init-env-def wf-env-def wf-color-def colored-def*
wf-num-def no-black-to-white-def is-scc-def precedes-def)

hence *res*: *dfs-post* *vertices* *init-env* (*dfs* *vertices* *init-env*)

by (*rule* *dfs-correct*)

thus ?*thesis*

by (*auto simp: tarjan-def init-env-def dfs-post-def wf-env-def wf-color-def*
colored-def subenv-def)

qed

end — context graph

end — theory Tarjan